



\$ 5000

UNITED STATES PATENT AND TRADEMARK OFFICE

COMMISSIONER FOR PATENTS
UNITED STATES PATENT AND TRADEMARK OFFICE
WASHINGTON, D.C. 20231
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| APPLICATION NUMBER | FILING/RECEIPT DATE | FIRST NAMED APPLICANT | ATTORNEY DOCKET NUMBER |
|--------------------|---------------------|-----------------------|------------------------|
| 09/682,276 | 08/13/2001 | Rieko Kataoka | JP920000224 |

DSJ

00877

IBM CORPORATION, T.J. WATSON RESEARCH CENTER

P.O. BOX 218

YORKTOWN HEIGHTS, NY 10598

CONFIRMATION NO. 4826

FORMALITIES LETTER



OC00000006444583

Date Mailed: 08/20/2001

Due 10/20/01

NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

FILED UNDER 37 CFR 1.53(b)

Filing Date Granted

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given **TWO MONTHS** from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The oath or declaration is unsigned.
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(e) of \$130 for a non-small entity, must be submitted with the missing items identified in this letter.
- The balance due by applicant is \$ 130.

A copy of this notice **MUST** be returned with the reply.

Customer Service Center

Initial Patent Examination Division (703) 308-1202

PART 1 - ATTORNEY/APPLICANT COPY

09/06/2001 HND001 00000062 090468 09682276
01 FC:105 130.00 CHIBM
YORKTOWN
2001 AUG 23 AM 9:25
FEDERAL BUREAU OF INVESTIGATION
LAW DEPT.

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re application of: Kataoka et al.

Docket No.: JP920000224US1

Serial No.: 09/682,276

Group Art Unit: 2673

Filed: August 13, 2001

Examiner:

For: Display Apparatus For A Computer Having A Storage Medium

Assistant Commissioner for Patents
Washington, D.C. 20231

TRANSMITTAL LETTER

Sir:

Being filed herewith are copies of the following:

1) Notice to File Missing Parts of Non-Provisional Application

In response to the Notice to File Missing Parts of Non-Provisional Application - Filing Date Granted, dated 8/17/2001, Applicants enclose herewith a properly executed original Declaration and Power of Attorney in compliance with 37 C.F.R. §1.63. The \$130.00 fee to cover the surcharge under 37 C.F.R. §1.16(e) is hereby authorized to be charged to Deposit Account No. 09-0468. A copy of the Notice to File Missing Parts of Non-Provisional Application - Filing Date Granted is further enclosed.

2) Deposit Account Authorization Form

3) Declarations and Power of Attorney

4) Recordation Form Cover Sheet

5) Assignment To IBM

6) Submission of Priority Document

7) Certified Japanese Priority Application No. 2000-245963

8) IDS Transmittal Letter

9) PTO-1449 Form w/ 1 reference

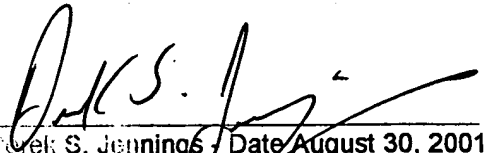
10) Acknowledgment Postcard

The above items are being deposited with the United States Postal Service as first class mail in an envelope addressed to:

**Assistant Commissioner for Patents
Washington, D.C. 20231**

In the event any additional extensions of time are required, please treat this paper as a petition to extend the time as required and charge Deposit Account No. 09-0468.

Respectfully submitted,


Derek S. Jennings Date August 30, 2001
Reg. Patent Agent/Engineer
Reg. No. 41,473
Tel. No. (914) 945-2144

IBM Corporation
Intellectual Property Law Dept.
P.O. Box 218
Yorktown Heights, New York 10598



IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re application of: Kataoka et al.

Docket No.: JP920000224US1

Serial No.: 09/682,276

Group Art Unit: 2673

Filed: August 13, 2001

Examiner:

For: Display Apparatus For A Computer Having A Storage Medium

Assistant Commissioner for Patents
Washington, D.C. 20231

CERTIFICATE OF MAILING UNDER 37 CFR 1.8 (a)

I hereby certify that the attached correspondence comprising:

Notice to File Missing Parts of Non-Provisional Application
Transmittal Letter
Deposit Account Authorization Form
Declaration and Power of Attorney
Recordation Form Cover Sheet
Assignment to IEM
Submission To Priority Document
Certified Japanese Priority Document 2000-245963
IDS Transmittal Letter
PTO 1449 Form w/ 1 reference
Return Postcard

is being deposited with the United States Postal Service as first class mail in an envelope addressed to:

Assistant Commissioner for Patents
Washington, D.C. 20231

on August 30, 2001

La Tricia M. Van Buren
(Type or printed name of person mailing paper or fee)

(Signature of person mailing paper or fee)



IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re application of: Kataoka et al.

Date: August 29, 2001

Serial No.: 09/682,276

Group Art Unit: 2673

Filed: August 13, 2001

Examiner:

For: Display Apparatus For A Computer Having A Storage Medium

Assistant Commissioner for Patents
Washington, DC 20231

DEPOSIT ACCOUNT AUTHORIZATION FORM

Sir:

In response to the Notice to File Missing Parts of Non-Provisional Application dated August 20, 2001 this is authorization to charge the fee of \$130.00 for filing the Declaration and Power of Attorney for the above-subject patent application to Deposit Account No. 09-0468, including any readjustment thereof.

Respectfully submitted,

Derek S. Jennings
Reg. Patent Agent/Engineer
Reg. No.: 41,473
Tel. No.: (914) 945-2144

IBM Corporation
Intellectual Property Law Dept.
P.O. Box 218
Yorktown Heights, New York 10598

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